TO: Members, State Board of Education

FROM: William L. Librera, Ed.D.

Commissioner

SUBJECT: N.J.A.C. 6A:4, Appeals

REASON

FOR ACTION: Sunset Readoption with Amendments

SUNSET DATE: May 5, 2005

**PROJECTED** 

SUNSET DATE: May 2010

# **Summary**

The Department of Education is proposing to readopt with amendments N.J.A.C. 6A:4, Appeals. The current rules pertaining to appeals to the State Board of Education will expire on November 1, 2005, pursuant to N.J.S.A. 52:14B-5.1c, and upon readoption, the amended rules are projected to sunset in 2010.

The rules governing appeals to the State Board of Education provide the procedures to be followed in appeals taken pursuant to N.J.S.A. 18A:6-27, which confers on parties who are aggrieved by any determination of the Commissioner of Education the right to appeal that determination to the State Board. These rules also specify the procedures for appeal to the State Board pursuant to other provisions of Title 18A, such as N.J.S.A. 18A:6-38 (State Board of Examiners), N.J.S.A. 18A:12-29 (Ethics Commission) and those provisions governing the issuance of administrative orders by the State Board under N.J.S.A. 18A:7A-15 (creation of a State-operated school district).

The rules are designed to enable the State Board to fulfill its statutory responsibilities under N.J.S.A. 18A:6-28 (State Board has power to hear and determine appeals) and to implement N.J.S.A. 18A:6-29 (State Board may refer appeals to a legal committee) by codifying the procedures applicable to the Legal Committee of the State Board. The proceedings provided for in this chapter are also in fulfillment of the State Board's statutory responsibilities as agency head of the Department of Education for the general supervision of public education and to insure proper effectuation of the education laws.

These rules are critical to the implementation of the comprehensive system for administrative appeals in education matters which the Legislature established in Title 18A. By clearly delineating the appeals process and by setting clear, fair and realistic timelines for litigants, the rules are intended to provide a uniform, orderly and fair process for the administrative determination of appeals in school law disputes and to provide the parties with notice of the process.

The procedural rules governing appeals to the State Board have been revised regularly under the direction of the State Board's Legal Committee. The last major revision was in 1989 and provided the overall framework for the current rules. Since that time, the rules have been amended to implement changes in the education statutes, such as the enactment of N.J.S.A. 18A:7A-15 et seq. (creation of State-operated school district). The rules were readopted in 1994, at which time they were amended to provide for appeals in matters arising under the School Ethics Law and for appeals from revocations of certification by the State Board of Examiners. In that the expenditure growth limitations of the Public School Education Act of 1975 were no longer in effect at that time, the State Board repealed the rules that had governed appeals in those matters.

In addition, the rules have been reviewed regularly as appeals have been processed and decided by the State Board. Consequently, the rules have been amended as the need emerged. For example, in 1997, the State Board amended the rules to clarify the procedures for seeking reconsideration of decisions made by the Board.

In 1999-2000, the rules were thoroughly reviewed and recodified from N.J.A.C. 6:2 to N.J.A.C. 6A:4 as part of the Department of Education's comprehensive review of Title 6 of the Administrative Code. Effective May 5, 2000, the rules were readopted by the State Board of Education with modifications to improve their clarity. Specifically, the rules were clarified to provide that, for purposes of appeal to the State Board, a decision of the School Ethics Commission finding a violation of the School Ethics Act would be considered as an interlocutory appeal until the Commissioner of Education renders his decision regarding the sanction. To further insure that all parties involved in an appeal to the State Board of Education would be provided with clear notice of the items which were before the Commissioner, the State Board of Examiners or the School Ethics Commission in reaching the determination being appealed, the rules were amended to require that the Commissioner, State Board of Examiners or Ethics Commission provide the parties with a statement of items comprising the record on appeal when the record is certified and transmitted to the State Board of Education. A new section was added to specify the procedures to be followed in those instances in which a party questions whether the record fully and truly discloses what occurred in the proceedings below.

The provisions relating to applications for a stay from a decision of the Commissioner or the State Board of Examiners in cases appealed to the State Board of Education were also modified at that time. Because the rules governing decisions rendered by the Commissioner pursuant to N.J.S.A. 18A:6-9 (Commissioner has jurisdiction over controversies arising under the school laws) had been amended to include specific procedures for seeking a stay from the Commissioner, it was no longer necessary to include such procedure in the State Board's appeals rules. However, the requirement that a party must seek a stay from the Commissioner or the State Board of Examiners before applying to the State Board of Education once an appeal has been filed was retained and clarified.

A new rule was added to insure proper implementation of the appeals provision of the Charter School Program Act of 1995 (N.J.S.A. 18A:36A-1 et seq.), which requires that the State Board render its decision on an appeal from a decision by the Commissioner granting or rejecting a charter application within a 30-day time period.

Finally, the rules were amended to improve notice to litigants concerning when an appeal will be considered by the State Board. The practice generally followed by the Legal Committee was codified so that rules provided that any appeal in which the Legal Committee has not assigned a written report would be scheduled for consideration by the full State Board within 60 days of its review by the Committee.

Because the rules governing appeals have been reviewed and revised regularly, the rules now in effect embody the practices that are followed by the State Board at this time and reflect the current statutory framework under which appeals to the State Board are decided. Hence, no major revisions are being proposed. However, several amendments are being proposed to improve the clarity of the rules. These include specifying that the decision being appealed includes the initial decision if one has been issued by the Office of Administrative Law and that if an initial decision has been issued, it must be appended to the notice of appeal and included in the appendix of the appeal brief. The amendments also specify that a motion for stay must be accompanied by a copy of the decision from which the stay is sought, and that a motion for clarification and/or reconsideration must conform to the requirements applicable to other motions and must include a copy of the decision from which clarification and/or reconsideration is being sought. In addition, the amendments expand the time limit within which the record on appeal must be transmitted to the State Board by the Commissioner, State Board of Examiners, or School Ethics Commission from five days of receipt of the notice of appeal to 15 days. This amendment is necessary in order to provide adequate time for the preparation and transmittal of the record.

The following summarizes the content of each subchapter, noting changes to the existing rules:

# **Subchapter 1. General Provisions**

# N.J.A.C. 6A:4-1.1 Appealable decisions

This section specifies which decisions are appealable to the State Board of Education. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.2 Who may appeal

This section specifies who may appeal to the State Board of Education. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.3 Time for appeal

This section prescribes the 30-day period for appealing to the State Board of Education from a decision of the Commissioner of Education, Board of Examiners or

School Ethics Commission, as required by N.J.S.A. 18A:6-28. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.4 Computation of time

This section specifies how the State Board computes periods of time referred to in this subchapter. No amendments are proposed to this section.

#### N.J.A.C. 6A:4-1.5 Extensions of time

This section delineates the conditions under which extensions for filing papers may be obtained and specifies total amount of time for which extensions may be granted in any one case. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.6 How to appeal

This section specifies the manner in which appeals and cross appeals are made to the State Board. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.7 Contents of notice of appeal and notice of cross appeal

This section specifies the contents of notices of appeal and cross appeal, and the filing and proof of mailing requirements for appeals to the State Board. This section also specifies that the acceptable method of showing proof of service of appeals are a signed acknowledgement of service by the party or the party's attorney, or an affidavit or certification of service. The amendment proposed at N.J.A.C. 6A:4-1.7(c) specifies that a copy of the complete decision being appealed, including any initial decision issued by the Office of Administrative Law, must be appended to the notice of appeal when it is filed. The proposed amendment to subsection (d) adds "the" before "notice."

#### N.J.A.C. 6A:4-1.8 Record on appeal

This section specifies what is included in the record on appeal. It also defines for parties what certification of the record means and explains that the record on appeal includes tape recordings, computer disks and exhibits, as well as the papers filed in a case. In addition, this section establishes the time limit within which the Commissioner, State Board of Examiners or School Ethics Commission must transmit the record to the State Board. The amendment proposed at N.J.A.C. 6A:4-1.8(b) expands the time limit within which the record must be transmitted to the State Board from five to 15 days of the receipt of a notice of appeal.

# N.J.A.C. 6A:4-1.9 Correction or supplementation of the record

This section specifies the conditions under which the record before the State Board may be supplemented or corrected. It also specifies that a party may file a motion to correct the record if there is a question whether the record fully and truly discloses what occurred in the proceedings below. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.10 Filing and service of briefs, motions, applications, and exceptions

This section specifies the requirements for filing and service of all briefs, motions, applications and exceptions to the State Board. A technical amendment is proposed at N.J.A.C. 6A:4-1.10(a) to correct a regulatory citation.

# N.J.A.C. 6A:4-1.11 Time for serving and filing of briefs

This section prescribes the timelines for filing and service of briefs, motions, applications and exceptions with the State Board. No amendments are proposed to this section.

#### N.J.A.C. 6A:4-1.12 Failure to meet filing deadlines

This section provides notice to appellants and cross appellants that failure to file an appeal brief or brief in support of a cross appeal could result in dismissal of the appeal or cross appeal. Respondents are also provided notice that their failure to meet the filing deadline for an answer brief could result in the State Board considering the matter on the record before it. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.13 Contents of briefs

This section prescribes what is to be included in briefs. No amendments are proposed to this section.

# **N.J.A.C. 6A:4-1.14** Appendices

This section establishes requirements applicable to appendices to briefs to ensure that the documentation which is material to the resolution of a given case will be submitted with the briefs. The amended rules specify that the decision being appealed must be the first item of the appendix and that if an initial decision has been issued by the Office of Administrative Law, it is part of the decision being appealed. The amended rule also specifies that if answers to interrogatories are included in the appendix, the interrogatories must be included.

# N.J.A.C. 6A:4-1.15 Length of briefs

This section specifies the length of formal briefs, which may not exceed 40 pages exclusive of tables of contents and appendices. Letter briefs and reply briefs are not to exceed 15 pages, and the page limit requirements may be relaxed only by leave of the Legal Committee. No amendments are proposed to this section.

#### N.J.A.C. 6A:4-1.16 Inadequacy or impropriety of briefs and papers

This section provides that the State Board may order briefs suppressed under certain circumstances and may direct the filing of a new brief. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.17 Exceptions to reports of the Legal Committee

This section defines the manner in which parties may respond to reports of the State Board Legal Committee. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.18 Motions

This section defines the manner in which parties shall file motions and supporting briefs with the State Board. No amendments are proposed to this section.

# N.J.A.C. 6A:4-1.19 Relaxation of the rules

This section provides that the State Board may relax its procedural rules where adherence would result in injustice. No amendments are proposed to this section.

# **Subchapter 2. Miscellaneous Proceedings**

#### N.J.A.C. 6A:4-2.1 Motion to appear as amicus curiae

This section sets forth the manner in which motions to appear as amicus curiae are to be filed with the State Board and the conditions under which such motions may be granted. No amendments are proposed to this section.

# N.J.A.C. 6A:4-2.2 Motion for stay of a decision of the Commissioner or State Board of Examiners

This section sets forth the manner in which a motion for a stay of a decision of the Commissioner or State Board of Examiners is to be filed with the State Board of Education. The amendment proposed at N.J.A.C. 6A:4-2.2(b) specifies that a motion for a stay must be accompanied by the decision of the Commissioner or Board of Examiners from which the stay is being sought and that such a decision includes any initial decision issued by the Office of Administrative Law.

# N.J.A.C. 6A:4-2.3 Motion for leave to appeal an interlocutory order, decision or action

This section sets forth the manner in which motions for leave to appeal interlocutory orders, decisions or actions are to be made to the State Board. No amendments are proposed to this section.

# N.J.A.C. 6A:4-2.4 Emergency relief

This section sets forth the manner in which a party may move for emergency relief before the State Board. No amendments are proposed to this section.

# N.J.A.C. 6A:4-2.5 Appeal from the grant or rejection of an application to establish a charter school

This section provides that the State Board will render its decision in an appeal from a decision by the Commissioner granting or rejecting a charter application within a 30-day time period. No amendments are proposed to this section.

# N.J.A.C. 6A:4-2.6 Issuance of an administrative order creating a State-operated school district

This section sets forth the procedures under which recommendations by the Commissioner for the creation of a State-operated school district are to be considered by the State Board. No amendments are proposed to this section.

# N.J.A.C. 6A:4-2.7 Motions for clarification and/or reconsideration of a State Board decision

This section sets forth the manner in which parties may request clarification and/or reconsideration of State Board of Education decisions. The amendment proposed at N.J.A.C. 6A:4-2.7 specifies that a motion for clarification and/or reconsideration must conform to the requirements applicable to other motions and must be accompanied by a copy of the decision from which clarification and/or reconsideration is sought.

# **Subchapter 3. Review and Decision**

# N.J.A.C. 6A:4-3.1 Functions of the Legal Committee

This section defines the functions and authority of the State Board's Legal Committee. No amendments are proposed to this section.

# N.J.A.C. 6A:4-3.2 Oral argument

This section prescribes the procedures for requesting oral argument before the State Board or its Legal Committee. Also set forth in this section are the conditions under which such requests will be granted. No amendments are proposed to this section.

#### N.J.A.C. 6A:4-3.3 Authority to decide applications for emergency relief

This section specifies the conditions under which the president of the State Board or the chairperson of the Legal Committee is authorized to decide applications for emergency relief. No amendments are proposed to this section.

# N.J.A.C. 6A:4-3.4 Decision of the State Board

This section sets forth the manner in which the State Board will make final decisions. No amendments are proposed to this section.

As the Department has provided a 60-day comment period on this proposal, this chapter is excepted from the rulemaking calendar requirement pursuant to N.J.A.C. 1:30-3.3(a)5.

# **Social Impact**

The primary impact of the rules proposed for readoption with amendments is to further insure the fairness of proceedings before the State Board of Education and to enhance the ability of individuals and district boards of education to obtain due process in the context of an appeal to the State Board. The rules will also contribute to the State Board's ability to more expeditiously arrive at a substantive decision in each case and to insure that such decisions are factually accurate and consistent with both law and the educational policies that have been articulated by the State Board.

# **Economic Impact**

The rules proposed for readoption with amendments will impose no economic burden on parties to appeals other than those associated with representation by counsel where a party chooses to be represented or is required by law to be represented.

# **Federal Standards Statement**

The rules proposed for readoption with amendments will not be inconsistent with or exceed any Federal requirements or standards as there are no Federal requirements or standards relating to this chapter.

#### **Jobs Impact**

The rules proposed for readoption with amendments will result in neither the generation nor the loss of jobs in public school districts or the State.

# **Agriculture Industry Impact**

The rules proposed for readoption with amendments will have no impact on the agriculture industry.

# **Regulatory Flexibility Analysis**

A small business, as defined by the Regulatory Flexibility Act, N.J.S.A. 52:14B-16 et seq., which provides service or supplies/materials to a district board of education, may seek relief before the State Board concerning alleged violation of bidding laws or regulations. Under these circumstances, the small business would be required to comply with the reporting, recordkeeping and other compliance requirements of this chapter as described above in the same manner as any other individual, body or entity invoking the State Board's jurisdiction. However, the burden of compliance with these rules is minimal and is offset positively by the provision of such a mechanism for relief. Therefore, no varying standards are provided based on business size.

# **Smart Growth Impact**

The rules proposed for readoption with amendments will have no impact on the achievement of smart growth and implementation of the State Development and Redevelopment Plan.

<u>Full text</u> of the rules proposed for readoption with amendments follows (additions indicated in boldface <u>thus</u>; deletions indicated in brackets [thus]):

#### SUBCHAPTER 1. GENERAL PROVISIONS

# **6A:4-1.1** Appealable decisions

- (a) Final decisions of the Commissioner of the Department of Education, of the State Board of Examiners, and of the School Ethics Commission are appealable to the State Board of Education as of right. Final decisions include the following:
  - Any determination of the Commissioner, including, as to those separable issues
    upon which the Commissioner has rendered a final decision, a decision remanding
    all or part of a controverted case;
  - 2. Any decision of the State Board of Examiners pertaining to the revocation or suspension of a certificate issued by the Board of Examiners; and
  - Any decision of the School Ethics Commission finding a violation of the School
    Ethics Act (N.J.S.A. 18A:12-29d) at such time as the Commissioner's decision
    regarding the sanction has been rendered.
- (b) The State Board, upon application made pursuant to N.J.A.C. 6A:4-2.3, may grant leave to appeal from an interlocutory order, decision or action of the Commissioner, or his or her representative, or of the Board of Examiners, or of the School Ethics Commission. A decision by the School Ethics Commission finding a violation of the School Ethics Act shall be considered to be an interlocutory decision for purposes of appeal to the State Board.

# 6A:4-1.2 Who may appeal

(a) Any party aggrieved by a decision of the Commissioner, or a decision by the Board of

Examiners to revoke or suspend certification, or by the School Ethics Commission finding a violation of the School Ethics Act may appeal to the State Board of Education.

- (b) Parties jointly interested in a decision or action may join in an appeal therefrom or may appeal separately.
- (c) A respondent may cross appeal as provided by N.J.A.C. 6A:4-1.3(b).

#### 6A:4-1.3 Time for appeal

- (a) Appeals from final decisions of the Commissioner, or of the Board of Examiners, or of the School Ethics Commission shall be taken within 30 days of the filing date of the decision from which appeal is taken.
- (b) Cross appeals may be taken by filing notice of cross appeal within 10 days of service of notice of appeal.
- (c) Applications for leave to appeal from interlocutory orders, decisions or actions shall be made within the time provided by N.J.A.C. 6A:4-2.3.

# 6A:4-1.4 Computation of time

- (a) A decision of the Commissioner or State Board of Examiners shall be deemed filed three days after the date of mailing to the parties.
- (b) For purposes of appeal to the State Board, a decision of the School Ethics

  Commission finding a violation of the School Ethics Act shall be deemed filed upon
  the filing of the Commissioner's decision regarding the sanction.

(c) In computing any period of time fixed by this chapter, the day of the act or event from which the designated period begins to run is not to be included. The last day of the period so computed is to be included, unless it is a Saturday, Sunday or legal holiday, in which event the period runs until the end of the next day that is not a Saturday, Sunday or legal holiday.

#### 6A:4-1.5 Extensions of time

- (a) No extension of time may be granted to enlarge the time specified for appeal or for cross appeal.
- (b) By notice to the Legal Committee of the State Board of Education, extensions with consent of all parties for filing briefs or exceptions to the report of the Legal Committee may be obtained for a period of time not to exceed an accumulated total of 60 days for all extensions in one case.
- (c) Requests for extensions of time without the consent of all parties may be granted only by leave of the Legal Committee.
- (d) Extensions of time in excess of an accumulated total of 60 days for all extensions in one case may be granted only by leave of the Legal Committee upon a showing of good cause.

# 6A:4-1.6 How to appeal

(a) An appeal or cross appeal shall be taken by serving a copy of the notice of appeal or cross appeal upon all other parties and by filing the original with the Legal

- Committee of the State Board of Education at 100 River View Plaza, PO Box 500, Trenton, New Jersey 08625-0500, and a copy with the Commissioner of Education, the State Board of Examiners, or the School Ethics Commission.
- (b) An appeal or cross appeal shall be considered filed upon receipt of notice of appeal by the Legal Committee if hand delivered or upon mailing if appended thereto is a proof of mailing including either:
  - 1. An affidavit of the person mailing the appeal or a certified mail receipt; or
  - 2. A certificate of mailing signed by the attorney for the party filing the appeal.
- (c) Where there is no accompanying affidavit or certificate of mailing, the appeal shall be deemed to have been mailed three days before receipt of the appeal by the State Board.

# 6A:4-1.7 Contents of notice of appeal and notice of cross appeal

- (a) A notice of appeal or notice of cross appeal shall set forth the name of the party taking the appeal and his or her address or that of his or her attorney if he or she is represented, the names of all other parties, and shall specifically designate the decision appealed from, or such part as appealed from.
- (b) Each notice of appeal shall be signed by the attorney of record representing the party taking the appeal or, if such party is appearing pro se, signed by the party.
- (c) Each notice of appeal shall have appended thereto a copy of the decision being appealed, including the initial decision, if any, issued by the Office of Administrative Law.
- (d) Proof of service shall be appended to the notice of appeal or cross appeal in the form

of one of the following:

- An acknowledgement of service signed by the attorney for the party or signed and acknowledged by the party;
- 2. An affidavit of the person making service or a certified mail receipt; or
- 3. A certificate of service appended to the paper to be filed and signed by the attorney for the party making service.
- (e) Failure to append proof of service to notice of appeal or cross appeal does not affect the validity of service, and the State Board at any time may allow proof of service to be amended or supplied unless an injustice would result.

# 6A:4-1.8 Record on appeal

- (a) The record on appeal shall include all papers, tape recordings, computer disks and exhibits on file with the Commissioner, Board of Examiners, or School Ethics Commission, with all entries as to matters made on the record, any stenographic transcript, and all papers filed with or entries made on the records of the State Board.
- (b) Upon notice of appeal, the Commissioner, Board of Examiners, or School Ethics Commission shall certify the record and shall remit the record to the State Board within 15 days of receipt of such notice of appeal, together with the notice, two copies of the decision appealed from, and a statement of items comprising the record on appeal which shall be served on each party to the appeal at the same time.
- (c) The record shall be retained by the State Board except that any party may be permitted to use any portion of such record in the State Board's appeals office.

# 6A:4-1.9 Correction or supplementation of the record

- (a) A party who questions whether the record fully and truly discloses what occurred in the proceedings below shall apply on motion to the Commissioner, the State Board of Examiners, or the School Ethics Commission to settle the record. The State Board, on motion, may review such determination or, on its own motion, may direct correction of the record.
- (b) At any time during the pendency of the appeal, if it appears that evidence unadduced at the proceedings below may be material to the issues on appeal, the State Board may direct, on its own motion or on motion of a party, on such terms as it deems appropriate, that the record on appeal be supplemented by the taking of additional evidence.

# 6A:4-1.10 Filing and service of briefs, motions, applications, and exceptions

- (a) One copy of all briefs, motions, applications, and exceptions filed pursuant to this chapter shall be served on all parties, and proof of such service in conformity with N.J.A.C. 6A:4-1.7(d) shall be appended to an original, which shall be filed with the Legal Committee.
- (b) In addition to an original, 17 copies of all briefs, motions, applications, and exceptions shall be filed with the Legal Committee.
- (c) All briefs filed pursuant to this chapter shall be deemed filed upon receipt by the Legal Committee.

#### 6A:4-1.11 Time for serving and filing of briefs

- (a) Except as otherwise provided by N.J.A.C. 6A:4-2.3, motion for leave to appeal, the appellant shall serve and file a brief in support of the appeal within 20 days after the appeal has been filed. The respondent shall serve and file an answer brief within 20 days after service of the appellant's brief. The appellant may serve and file a reply brief within 10 days after service of the respondent's brief. No other briefs shall be served or filed without leave of the Legal Committee.
- (b) If a cross appeal is taken, the party first appealing shall serve and file an appeal brief within 30 days of notice of the cross appeal. Within 30 days thereafter, the cross-appellant shall serve and file a brief in support of the cross appeal, which shall include an answer to appellant's brief. The appellant may file a reply brief within 10 days thereafter. No other briefs shall be served or filed without leave of the Legal Committee.

# 6A:4-1.12 Failure to meet filing deadlines

- (a) Failure to meet the filing deadline for an appeal brief or brief in support of a cross appeal may be viewed as a failure to perfect the appeal or cross appeal. Accordingly, on its own motion, the State Board may move to dismiss such appeal or cross appeal.
- (b) If a respondent fails to meet the filing deadline for an answer brief, the record may be closed and the State Board may consider the matter on the record then before it.

#### 6A:4-1.13 Contents of briefs

(a) The cover of each formal brief filed pursuant to this chapter shall contain the

# following information:

- The name of the State Board of Education and the State Board docket number for the action;
- 2. The title of the action:
- 3. The designation of the parties before the Commissioner and the designation of the parties in the action before the State Board;
- 4. The title of the document and the designation of the party for whom it is filed; and
- 5. The name and office address of the attorney of record and the names of any attorneys "of counsel" or "on the brief" or the name and address of the party submitting the brief if such party is appearing pro se.
- (b) All briefs shall be typed and plainly legible. All formal briefs shall be typed double spaced.
- (c) All briefs shall be bound or stapled.
- (d) Each formal brief filed by an appellant shall contain the following material under distinct titles, arranged in the following order:
  - 1. A table of contents, including the point headings to be argued.
  - 2. If the appeal raises questions concerning educational policies, a concise and specific statement of those policies.
  - A concise procedural history including a statement of the nature of the proceedings and a reference to the order, decision or action appealed from or sought to be reviewed.
  - 4. A concise statement of the facts material to the issues on appeal supported by references to the record and transcript. The statement shall be in the form of a

- chronological narrative summarizing all pertinent evidence, but shall not be a summary of all the evidence adduced at hearing, witness by witness.
- 5. The legal argument for the appellant, under appropriate point headings, distinctively printed or typed, into as many parts as there are to be argued.
- (e) All briefs shall be dated and shall be signed by the party submitting the brief if such party is appearing pro se or by the attorney of record if the party is represented.
- (f) All briefs shall strictly preserve the anonymity of any minor who is a party to or witness in the matter by such means as using initials in place of the names of those minors.
- (g) All briefs shall include a statement in parentheses in the point heading that clearly identifies any point that was neither briefed nor argued in the proceedings prior to the appeal to the State Board.
- (h) In lieu of filing a formal brief in accordance with (d) above, the appellant may file a letter brief. Letter briefs may be typed either single or double-spaced, but shall not exceed 15 pages. Letter briefs shall conform with the requirements of this section, except for those provisions which are expressly applicable only to formal briefs. No cover need be annexed, provided that the information required is included in the heading of the letter.
- (i) Respondent's answer brief shall conform to the requirements of this section, but shall include a counter-statement of facts only if respondent disagrees with the statement of facts in appellant's brief.
- (j) A brief in support of a cross appeal shall conform to the requirements of this section.
- (k) Appellant's reply brief shall conform to the requirements of this section.

# 6A:4-1.14 Appendices

- (a) An appeal brief or brief in support of a cross appeal shall have appended thereto an appendix containing as the first item the decision appealed from, including any decision issued by the Office of Administrative Law, and such parts of the record, including evidentiary exhibits or portions thereof, upon which the appellant or cross-appellant relies, or upon which it should reasonably be assumed the respondent will rely in meeting the issues raised. If answers to interrogatories are included in the appendix, a copy of the interrogatories also shall be included.
- (b) All appendices shall be bound or stapled and the pages thereof arranged in clearly designated numerical order.
- (c) An appendix shall not include any document that would supplement the evidentiary record made before the Commissioner unless motion to supplement the record has been made and granted by the State Board as provided by N.J.A.C. 6A:4-1.9.

#### 6A:4-1.15 Length of briefs

- (a) Initial briefs of parties, if formal briefs, shall not exceed 40 pages exclusive of tables of contents and appendices.
- (b) Letter briefs and reply briefs shall not exceed 15 pages.
- (c) These page limits may be relaxed only by leave of the Legal Committee, which may be applied for ex parte.

# 6A:4-1.16 Inadequacy or impropriety of briefs and papers

- (a) If a brief does not substantially conform to this subchapter or is so inadequate that justice cannot be done without the State Board's independent review of the record or research of the law, the State Board may order the same suppressed and direct the filing within a fixed period of time of a new brief.
- (b) The State Board may, on its own or motion by a party, strike a brief of any part of a brief that is profane or abusive of the State Board or another person.
- (c) If a brief or other paper filed fails to preserve the anonymity of any minor who is a party or was a witness in the case, the State Board may order the same suppressed.

#### 6A:4-1.17 Exceptions to reports of the Legal Committee

- (a) Whenever there is a written report of the Legal Committee as provided by N.J.A.C. 6A:4-3.1(d), the parties may serve and file exceptions within 10 days of the date on which the report is filed. Exceptions shall be filed concurrently and shall not exceed 10 pages. No other papers shall be served or filed without leave of the Legal Committee.
- (b) Written reports of the Legal Committee shall be deemed filed three days after the date of mailing to the parties.

#### **6A:4-1.18 Motions**

(a) Every motion shall be accompanied by a brief, conforming to the requirements of either N.J.A.C. 6A:4-1.13(d) or (h). The brief shall explain clearly the nature of the action, the relief sought by the moving party and why the movant is entitled thereto.

- (b) Every brief shall have appended to it any decision or order of the Commissioner, School Ethics Commission, or State Board of Examiners granting or denying the relief sought or challenged, and such portions of the record upon which the movant either relies or should reasonably assume the opposing party will rely.
- (c) Each motion shall be accompanied by an affidavit setting forth fully the factual basis upon which the motion is based.
- (d) The moving party shall serve one copy of the moving papers on all other parties, and file with the Legal Committee an original and 17 copies thereof.
- (e) Except as provided by N.J.A.C. 6A:4-2.4, Emergency relief, an opposing party shall have 10 days after service of the movant's papers to serve and file the same number of papers in opposition. The opposing party's brief shall explain clearly the grounds for opposition, annexing any papers relied on that were not included in the moving party's appendix. If no opposing brief is filed, the State Board may consider the motion unopposed.
- (f) Without leave of the Legal Committee, which may be applied for ex parte, supporting and answering briefs shall not exceed 15 pages, exclusive of table of contents and appendix.
- (g) No other papers shall be filed by either party without leave of the Legal Committee.
- (h) Unless otherwise directed by the State Board, there shall be no oral argument on motions.

#### 6A:4-1.19 Relaxation of the rules

The rules of this chapter shall be construed to secure a just determination, simplicity of procedure, fairness in administration and elimination of unnecessary delay. Unless otherwise stated, any rule may be relaxed in any case by the State Board, in its discretion, if adherence to such rule would result in an injustice.

#### SUBCHAPTER 2. MISCELLANEOUS PROCEEDINGS

# 6A:4-2.1 Motion to appear as amicus curiae

- (a) A motion for leave to appear as amicus curiae shall state with specificity the identity of the applicant, the issue intended to be addressed, the nature of the public interest therein and the nature of the applicant's special interest, involvement or expertise with respect thereof.
- (b) The State Board shall grant the motion if it is satisfied under all the circumstances that the motion is timely, that the applicant's participation will assist in the resolution of an issue of public importance, and that no party to the litigation will be unduly prejudiced thereby. The decision granting the motion shall fix a briefing schedule.
- (c) An amicus curiae who has been granted leave to appear in a case before the Commissioner may, without seeking further leave, file a brief and appear in an appeal taken to the State Board from the decision or order entered therein.

# 6A:4-2.2 Motion for stay of a decision of the Commissioner or State Board of Examiners

- (a) A motion to stay a determination of the Commissioner or Board of Examiners pending determination of an appeal to the State Board shall first be made to the Commissioner or Board of Examiners.
  - Motions shall be made to the Commissioner in accordance with N.J.A.C.
     6A:3-1.15.
  - 2. Motions shall be made to the Board of Examiners concurrent with or subsequent to the filing of a notice of appeal to the State Board, together with a supporting affidavit and proof of service on each party.
- (b) If the motion is denied by the Commissioner or Board of Examiners, a motion for stay may be made to the State Board in accordance with N.J.A.C. 6A:4-1.18. If the motion is granted by the Commissioner or Board of Examiners, a motion to dissolve the stay may be made to the State Board in accordance with N.J.A.C. 6A:4-1.18. The motion shall have appended to it the decision of the Commissioner or Board of Examiners granting or denying a stay, along with the decision of the Commissioner or Board of Examiners from which a stay was sought, including the initial decision, if any, issued by the Office of Administrative Law.
- (c) The motion and affidavit filed in accordance with N.J.A.C. 6A:4-1.18 shall set forth fully that portion of the Commissioner's or Board of Examiner's decision with respect to which a stay or dissolution of a stay is sought, the factual basis on which the motion is founded, and the reasons why the motion should be granted.
- (d) Any party opposing the motion shall file and serve within 10 days of service of such motion an answering affidavit in the same manner, setting forth the reasons why the motion should be denied.

# 6A:4-2.3 Motion for leave to appeal an interlocutory order, decision or action

Motion for leave to appeal an interlocutory order, decision or action shall be made by filing a motion for leave to appeal to the State Board of Education within five days after the action or service of the interlocutory decision or order. Motion for leave to appeal shall conform to the requirements of N.J.A.C. 6A:4-1.18, except that the brief in support of the motion shall also include the merits of the issues sought to be appealed. The respondent's answer shall be filed within 10 days of service of motion.

# 6A:4-2.4 Emergency relief

- (a) Applications for emergency relief shall conform to the requirements of N.J.A.C.6A:4-1.18. Opposing parties shall be given opportunity under the circumstances to file papers in response to an application for emergency relief.
- (b) Applications for emergency relief shall be considered on an expedited basis and as provided by N.J.A.C. 6A:4-3.3.

# 6A:4-2.5 Appeal from the grant or rejection of an application to establish a charter school

(a) As provided by N.J.S.A. 18A:36A-4d, a district board of education or a charter school applicant who is aggrieved by the grant or rejection of an application to establish a charter school pursuant to N.J.S.A. 18A:36A-1 et seq. may appeal the Commissioner's decision to the State Board.

- (b) Such appeal shall be filed within 30 days after the decision appealed from is filed as computed under N.J.A.C. 6A:4-1.4.
- (c) Upon certification of the record on appeal by the Commissioner and its transmittal to the State Board pursuant to N.J.A.C. 6A:4-1.8, the briefing schedule shall be established for a given appeal and all parties notified thereof.
- (d) In accordance with N.J.S.A. 18A:36A-4d, the State Board shall render its decision within 30 days of the close of briefing by the parties. In the event that the State Board does not act within that time, the decision of the Commissioner shall be deemed final.
- (e) Unless otherwise provided by these rules, the provisions of this chapter shall be applicable to appeals filed under this subsection.

# 6A:4-2.6 Issuance of an administrative order creating a State-operated school district

- (a) A recommendation made to the State Board by the Commissioner for the issuance of an administrative order creating a State-operated school district shall be deemed filed three days after the date of mailing to the respondent.
- (b) The Commissioner shall certify the record upon which the recommendation is based and remit the record, so certified, together with two copies of the recommendation to the State Board within three days after the recommendation is filed.
- (c) Within 10 days after the recommendation is filed, a respondent contesting the recommendation shall file a notice of intention to contest with the State Board of Education and with the Commissioner, identifying the recommendation and stating that the respondent intends to contest it. A notice filed on behalf of a district board of

- education shall have appended thereto a certification that the district board has authorized the filing of the notice by resolution of the district board adopted by roll call vote.
- (d) Within 15 days after the filing of notice, the respondent shall file with the State Board an original and 17 copies of its exceptions to the recommendation, and shall serve one copy upon the Commissioner.
  - The exceptions shall specify the basis upon which the respondent contests the
    recommendation and shall include the respondent's argument, clearly and
    concisely stated, as to why the State Board should not issue an administrative
    order creating a State-operated school district.
  - 2. Any transcript or exhibit admitted into evidence, or portion thereof, relied upon shall be specifically identified.
  - 3. The respondent's exceptions may be in letter form, but shall not exceed 30 pages unless leave of the State Board has been obtained.
- (e) Within 10 days after the respondent has filed its exceptions, the Commissioner may file a response not exceeding 30 pages, which shall specifically identify any transcript or exhibit admitted into evidence, or portion thereof, upon which the Commissioner relies in the response.
- (f) No other papers shall be served or filed without leave of the State Board.
- (g) Oral argument before the State Board, or a committee thereof, may be granted on request of the respondent made by a separate captioned paper filed concurrently with its exceptions, or, in the case of any recommendation, upon direction of the State Board.

- (h) If no notice is filed as provided by (c) above, or exceptions are not filed within the 15 day period provided by (d) above, the decision of the State Board will be based solely on the record certified to it by the Commissioner.
- (i) Except as otherwise provided, the rules included in this chapter are applicable to proceedings pursuant to this section.
- (j) Determinations of the State Board made pursuant to this section shall be embodied in a written decision, which shall be certified to the Commissioner and simultaneously mailed to the respondent or its attorney of record.
- (k) The decision of the State Board shall be deemed filed three days after the date of mailing.

# 6A:4-2.7 Motions for clarification and/or reconsideration of a State Board decision

A motion for clarification and/or reconsideration shall be served and filed within 10 days after the State Board has filed its decision in the matter unless such time is enlarged by leave of the Legal Committee. The motion shall conform to the requirements of N.J.A.C. 6A:4-1.18 and shall include a copy of the decision from which clarification and/or reconsideration is sought.

#### SUBCHAPTER 3. REVIEW AND DECISION

#### **6A:4-3.1** Functions of the Legal Committee

(a) The Legal Committee shall supervise the preparation of and make available to the

State Board the entire record, and shall transmit to each member of the Board the basic documents in the case file, which shall include, but not be limited to:

- 1. The decision appealed from;
- 2. Appellant's brief, further memoranda and briefs; and
- 3. Respondent's answering brief, further memoranda and briefs.
- (b) Except as otherwise provided, the Legal Committee shall have the authority to accelerate the time schedule established by this chapter, or to stay such time schedule on its own or motion of a party, as it deems necessary to insure that the proceedings are expeditious and that the interests of the parties are protected.
- (c) Except where otherwise required by law and except in appeals in which the Legal Committee has assigned a report which is to be mailed to the parties as provided by (d) below, the Legal Committee shall report to the State Board at public meeting of the Board and recommend its conclusions within 60 days after reviewing an appeal.
- (d) Prior to the consideration of a given appeal by the State Board, the Legal Committee may submit to the members of the State Board a written report setting forth its recommendations.
  - 1. Whenever there is such a written report of the Legal Committee, it shall be mailed simultaneously to all parties or their attorneys of record, and opportunity for exceptions shall be provided pursuant to N.J.A.C. 6A:4-1.17.
  - 2. Each report, along with any exceptions, then shall be transmitted to each member of the State Board in advance of consideration of the matter by the Board.

# 6A:4-3.2 Oral argument

- (a) Appeals to the State Board shall be considered without oral argument unless argument is directed by the Legal Committee or the State Board.
- (b) Oral argument before the Legal Committee or before the State Board will be granted at the discretion of the Committee or the State Board only if the respective body is convinced that this procedure is necessary for a fair determination of the case.
- (c) A party requesting oral argument shall make the request by filing a separate captioned paper within 10 days after service of the respondent's brief concisely presenting the reasons why oral argument is necessary.
- (d) Even when reasons are proffered by a party, the Legal Committee and/or the State Board may deny a request for oral argument when not convinced that this procedure is necessary to make a fair determination of the case.

# 6A:4-3.3 Authority to decide applications for emergency relief

The President of the State Board or, in the President's absence, the chairperson of the Legal Committee is authorized to decide on behalf of the State Board applications for emergency relief made pursuant to N.J.A.C. 6A:4-2.4 unless the determination would constitute the final decision with respect to the controversy.

#### 6A:4-3.4 Decision of the State Board

(a) The State Board shall make final determinations with respect to each controversy by resolution at open public meeting.

- (b) Each final determination of the State Board shall be embodied in a written decision, which shall be mailed to all parties or their attorneys of record.
- (c) Decisions of the State Board shall be deemed filed three days after the date of mailing to the parties.